

Data Protection - Consent to Perform Credit and Criminal Searches

To comply with [The Money Laundering Regulations](#), Privium Fund Management (UK) Limitedⁱ ('Privium') is required to collect information and to undertake checks on the identity and residential address of the directors, shareholders and other authorised persons, including accessing and using information held in databases or other electronic formats through other agencies. In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. Privium may also request further supporting documentation to verify these details. The information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. Privium may maintain data on individuals outside the EEA.

Under the [Data Protection Act 2018](#) ("The Act"), Privium is required to obtain consent from those individuals whom credit, criminal or other background searches will be performed.

In order to satisfy the FCA's requirements under [FIT 1.3](#), in assessing an individual's fitness and propriety to perform a particular Controlled Function and/or Certification Function, Privium is required to conduct credit, criminal or other background searches for use in our assessment of your fitness and propriety. In doing so, we may also obtain information from the Electoral Register for the purposes of verifying your identity. Privium will also check your criminal record via Standard DBS Check and / or overseas equivalent.

Privium will not be passing on your details, or the results of these searches to any third party. The information will be used solely for the purpose of evaluating your fitness and propriety to perform the relevant controlled/certified function(s).

By signing this consent form, you are confirming your consent for Privium to conduct a credit and a criminal search on you for the purposes outlined above.

I, _____ hereby consent for Privium to process my data for the purpose of conducting searches on me through various agencies who will supply Privium with credit, criminal and other relevant background information for use in the assessment of my application to perform the relevant Controlled Function and/or Certification Function. Such agencies may also obtain information from the Electoral Register and/or the Disclosure and Barring Service for the purposes of verifying my identity and criminal record. I also consent for Privium to conduct such searches on a periodic basis, as and when required, to re-assess my fitness and propriety to undertake the relevant Controlled and or/Certified Function.

Signature:

Date: _____

ⁱ Privium Fund Management (UK) Limited is authorised and regulated by the FCA, FRN: 471186