



**LICENCE UNDER THE
SECURITIES AND FUTURES ORDINANCE, CAP. 571**

牌照《證券及期貨條例》(香港法例第 571 章)

Name of corporation **Privium Fund Management (HK) Limited**
持牌法團

Central entity no. **BGR298**
中央編號

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The above-mentioned person is licensed to carry on the following regulated activities:
上述法團已獲發牌進行以下受規管活動:

Type 9 : Asset Management	第 9 類 : 提供資產管理
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This licence is granted on the following conditions:
此牌照須受以下條件規限:

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| <p>1. The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance.</p> <p>2. The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation.</p> | <p>持牌人不得持有客戶資產。“持有”及“客戶資產”的定義已在《證券及期貨條例》的釋義條文內界定。</p> <p>持牌人只可向專業投資者提供服務。“專業投資者”的定義在《證券及期貨條例》及其附屬法例的條文內界定。</p> |
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